

## Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Standards Committee	Comments
Council Wide	Workforce - Programme Management	High	Deferred	Not Applicable	Not Applicable	25/07/2019	Summary paragraph included within the Annual Report 2018/19. Approved 2019/20 Audit Plan includes the 'LGA and Workforce Plan transitional arrangements' consultancy days and 'LGA Peer Review' internal audit regards the action plan governance arrangements.
Council Wide	Workforce Plan - Transitional Arrangements	High	Final Report Issued	Not Applicable	Not Applicable	07/05/2019	Consultancy
Council Wide	Capital Programme Limited Assurance Follow Up	High	Deferred				Deferred to 19/20 plan to ensure consideration of new capital strategy approval and implementation.
Council Wide	Procurement	High	Final Report Issued	Satisfactory	Satisfactory	09/10/2018	Brought Forward from 17/18 plan
Council Wide	Legacy Software	High	Final Report Issued	Satisfactory	Limited	09/10/2018	Brought Forward from 17/18 plan
Council Wide	Car Parking Review	High	Final Report Issued	Not Applicable	Not Applicable	29/01/2019	New activity
Development Services	Food Hygiene Inspections	High	Final Report Issued	Satisfactory	Satisfactory	29/01/2019	
Customer Services	Business Continuity	High	Audit in Progress				
Customer Services	Business Rates Evaluation List	High	Deferred				Review deferred due to in year arrangements and assurance confirmed by the relevant Head of Service (value would not be added by independent review in 2018/19). Deferred for consideration within 2020/21 Audit Plan.
Customer Services	Multi Services Contract Follow Up	High	Final Report Issued	Limited	Limited	09/10/2018	
Customer Services	Subscription Rooms - Risk Management	High	Final Report Issued	Substantial	Substantial	25/07/2019	
Customer Services	Homelessness	High	Final Report Issued	Satisfactory	Satisfactory	07/05/2019	Brought Forward from 17/18 plan
Customer Services	The Pulse Dursley Limited Assurance Follow Up	High	Final Report Issued	Satisfactory	Satisfactory	29/01/2019	
Finance	Banks Automated Clearing System (BACS)	High	Final Report Issued	Satisfactory	Satisfactory	26/07/2018	
Finance	Budget Savings	High	Final Report Issued	Substantial	Substantial	07/05/2019	
Finance	Communications (Publication of Financial Information)	High	Final Report Issued	Substantial	Satisfactory	07/05/2019	
Finance	Debt Collection and Recovery	High	Final Report Issued	Substantial	Substantial	07/05/2019	
Finance	Insurance	High	Cancelled				Refer to insurance tender consultancy review positive outcome within the November 2018 progress report.
Finance	Local Government Pension Scheme (LGPS) Limited Assurance Follow Up	High	Final Report Issued	Satisfactory	Satisfactory	29/01/2019	
Finance	Treasury Management	High	Final Report Issued	Satisfactory	Satisfactory	20/11/2018	
Tenant & Corporate Services	Competency Framework	High	Deferred				Carried forward to 2019/20 Plan, through the LGA Peer Review relevant reviews.
Tenant & Corporate Services	Contract Management (Mechanical and Electrical Payment Mechanisms)	High	Final Report Issued	Satisfactory	Substantial	09/10/2018	
Tenant & Corporate Services	Depooling of Rents	High	Consultancy	Not Applicable	Not Applicable	07/05/2019	
Tenant & Corporate Services	General Data Protection Regulations (GDPR)	High	Final Report Issued	Satisfactory	Satisfactory	25/07/2019	
Tenant & Corporate Services	HRA Delivery Plan - Budget Savings	High	Deferred				Deferred to 19/20 plan due to recent legislation changes and corporate delivery plan and council's vision to be refreshed.
Tenant & Corporate Services	IR35s - Off Payroll Working Through An Intermediary	High	Final Report Issued	Substantial	Substantial	07/05/2019	
Tenant & Corporate Services	Property Maintenance	Medium	Final Report Issued	Satisfactory	Satisfactory	25/07/2019	
Tenant & Corporate Services	Risk Management (Contracts)	High	Final Report Issued	Satisfactory	Satisfactory	07/05/2019	
Tenant & Corporate Services	Settlements	High	Final Report Issued	Satisfactory	Satisfactory	09/10/2018	
Tenant & Corporate Services	ICT Action Plan	High	Deferred				Audit deferred to ensure appropriate consideration of LGA Peer Review outcomes. Audit embedded into LGA Peer Review Action Plan.